# On the Benefit of Merging Suffix Array Intervals for Parallel Pattern Matching

Johannes Fischer and Dominik Köppl and *Florian Kurpicz* February 16, 2016

71. Workshop über Algorithmen und Komplexität

#### **Notations**

- $\Sigma$  is the alphabet with  $|\Sigma| = \sigma$
- $\$ \notin \Sigma$  and  $\forall \alpha \in \Sigma : \$ <_{\mathsf{lex}} \alpha$
- $T \in \Sigma^* \cup \{\$\}$  and  $P \in \Sigma^*$
- |T| = n and |P| = m
- *p* is the number of processors

# **Pattern Matching**

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$$P_1 = \mathbf{b}$$
 and  $P_2 = \mathbf{a}$ 

## **Sequential Times**

Туре	Query Time	Idea
exact	$\mathcal{O}\left(m\right)$	Suffix Tree
k-errors	$\mathcal{O}\left(m^k\sigma^k\max\left(k,\lg\lg n\right)+occ\right)$	[Lam et al., 2007]

### **Notations**

### **Prefix and Suffix**

 $P_i = T[1..i]$  is the *i*-th prefix of T for all  $i \in [1, n]$  $S_i = T[i..n]$  is the *i*-th suffix of T for all  $i \in [1, n]$ 

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#### T = banana\$

```
i 1 2 3 4 5 6 7 S_i banana$ anana$ nana$ ana$ na$ a$
```

3

## Suffix Array of T

The 
$$SA$$
 is a permutation of  $[1, n]$  such that for all  $i \in [1, n-1]$ : 
$$T\left[SA\left[i\right]..n\right] <_{\mathsf{lex}} T\left[SA\left[i+1\right]..n\right]$$

4

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T = banana\$

	1	2	3	4	5	6	7
<i>SA</i> [ <i>i</i> ]	7	6	4	2	1	5	3
	\$	a	a	a	b	n	n
		\$	n	n	a	a	a
			a	a	n	\$	n
			\$	n	a		a
				a	n		\$
				\$	a		
					\$		

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$$i \in \mathcal{I}(P) \iff T[SA[i]..SA[i] + |P| - 1] = P$$

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$$T = \text{banana}$$

$$\mathcal{I}(a) = [2, 4]$$

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SA [i]	7	6	4	2	1	5	3
	\$	a	a	a	b	n	n
		\$	n	n	a	a	a
			a	a	n	\$	n
			\$	n	a		а
				a	n		\$
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$$T = banana\$$$
 $I(a) = [2, 4]$ 
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 $I(an) = [3, 4]$ 

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<i>SA</i> [ <i>i</i> ]	7	6	4	2	1	5	3
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$$i \in \mathcal{I}(P) \iff T[SA[i]..SA[i] + |P| - 1] = P$$

# The Inverse Suffix Array

## Inverse Suffix Array of T

The 
$$SA^{-1}$$
 is a permutation of  $[1, n]$  such that for all  $i \in [1, n]$ : 
$$SA^{-1}\left[SA\left[i\right]\right] = i$$

# The Inverse Suffix Array

## Inverse Suffix Array of T

The  $SA^{-1}$  is a permutation of [1, n] such that for all  $i \in [1, n]$ :  $SA^{-1}[SA[i]] = i$ 

$$T = \text{banana}$$

$$\begin{aligned} \mathcal{I}(\mathbf{a}) &= [2, 4] \\ \mathcal{I}(\mathbf{n}) &= [6, 7] \\ \mathcal{I}(\mathbf{an}) &= [3, 4] \end{aligned}$$

	1	2	3	4	5	6	7
<i>SA</i> [ <i>i</i> ]	7	6	4	2	1	5	3
$SA^{-1}[i]$	5	4	7	3	6	2	1
	\$	a	a	a	b	n	n
		\$	n	n	a	a	a
			a	a	n	\$	n
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# The Inverse Suffix Array

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The  $SA^{-1}$  is a permutation of [1,n] such that for all  $i\in [1,n]$ :  $SA^{-1}\left[SA\left[i\right]\right]=i$ 

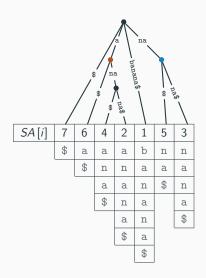
$$\mathcal{T}=$$
 banana $\mathcal{I}(a)=[2,4]$   $\mathcal{I}(n)=[6,7]$   $\mathcal{I}(an)=[3,4]$ 

	1	2	3	4	5	6	7
SA [i]	7	6	4	2	1	5	3
$\Psi^1[i]$	-	1	6	7	4	2	3
	\$	a	a	a	b	n	n
		\$	n	n	a	a	a
			а	а	n	\$	n
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	SA [i] Ψ <sup>1</sup> [i]	SA[i] 7 $\Psi^{1}[i]$ -	$SA[i]$ 7 6 $\Psi^{1}[i]$ - 1 \$ a	$SA[i]$ 7 6 4 $\Psi^{1}[i]$ - 1 6 $\Phi$ a a $\Phi$ a a	SA[i] 7 6 4 2 Ψ <sup>1</sup> [i] - 1 6 7 \$ a a a \$ n n a a	SA[i] 7 6 4 2 1 Ψ <sup>1</sup> [i] - 1 6 7 4 \$ a a a b \$ n n a a a n \$ n a	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$

#### Find the rest of the suffix

$$\Psi^{k}[i] = SA^{-1}[SA[i] + k]$$

## The Suffix Tree



# Tree above the Suffix Array

• Nodes cover relevant SAIs

$$\mathcal{I}(\mathsf{a}) = [2, 4]$$

$$\mathcal{I}(\mathtt{n}) \, = [6,7]$$

# **Suffix Array Interval Merging**

#### The Idea

Find occurrences of subpatterns and merge suffix array intervals

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#### The Problem

How to find the interval gained by merging two suffix array intervals?

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#### The Idea

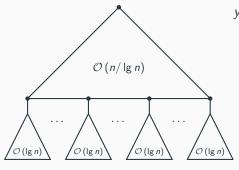
Find occurrences of subpatterns and merge suffix array intervals

#### The Problem

How to find the interval gained by merging two suffix array intervals?

Paper	Running Time	Idea
[Huynh et al., 2006]	$\mathcal{O}\left(\lg n\right)$	Binary Search
[This talk]	$\mathcal{O}(\lg \lg n)$	Extending [Lam et al., 2007],
		Sampling $\Psi$ in $y$ -fast trie
	$\mathcal{O}\left(\lg_p\lg n\right)$	Parallel Binary Search

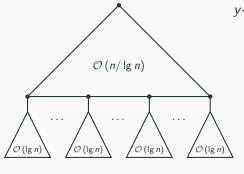
# **Integer Dictionaries**



## y-Fast Trie [Willard, 1983]

- Each leaf stores  $\mathcal{O}(\lg n)$  elements in a binary search tree
- x-fast trie for  $\mathcal{O}(n/\lg n)$  elements
- Prefixes of elements in  $\mathcal{O}(\lg n)$  hash tables

# **Integer Dictionaries**



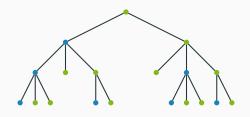
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FIND, PREDECESSOR and SUCCESSOR in  $\mathcal{O}(\lg \lg n)$ ...

- ... expected time or
- ... deterministic time with  $\mathcal{O}(n \lg \lg n)$  construction time.

# **Heavy Path Decomposition**

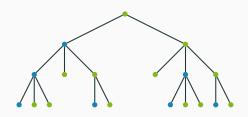


#### Nodes are

**Heavy** if they are in the largest subtree

**Light** otherwise (or if they are the root)

## **Heavy Path Decomposition**



#### Nodes are

Heavy if they are in the largest subtree
Light otherwise (or if they are the root)

Sample  $\Psi$  for each light node

Given two SAIs  $\mathcal{I}(\alpha)$  and  $\mathcal{I}(\beta)$ 

- Find all  $i \in \mathcal{I}(\alpha) : \Psi^{|\alpha|}[i] \in \mathcal{I}(\beta)$
- $\Psi^{|\alpha|}[i]$  is monotonically increasing for all  $i \in \mathcal{I}(\alpha)$

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Sampling for Light Nodes v of  $\mathcal{I}(\alpha)$  in y-fast trie

$$\Gamma\left(\nu\right):=\left\{ \left(\Psi^{\left|\alpha\right|}\left[i\right],i\right):i\equiv1\ \left(\operatorname{mod}\ \lg^{2}n\right)\wedge i\in\mathcal{I}(\alpha)\right\}$$



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$$\Psi^{|\alpha|}$$
 ... ...

# Merging SAIs - Light nodes

Let v be the light node of  $\mathcal{I}(\alpha)$  and  $\mathcal{I}(\beta) = [b_{\beta}, e_{\beta}]$ 

• If  $\Gamma(v) = \emptyset \to \text{Binary search on } < \lg^2 n \text{ elements}$ 



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# Merging SA/s – Light nodes

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- If either  $j_l$  or  $j_r$  does not exist there is no j such that

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Find  $k_l, k_r : \Psi^{|\alpha|}[k_l] \leq b_{\beta}$  and  $e_{\beta} \leq \Psi^{|\alpha|}[k_r]$ 



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Find  $k_l, k_r : \Psi^{|\alpha|}[k_l] \leq b_{\beta}$  and  $e_{\beta} \leq \Psi^{|\alpha|}[k_r]$ 

• Shrink  $k_l, k_r$  using binary search on  $< \lg^2 n$  elements



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Similar idea for heavy nodes

Let v be the light node of  $\mathcal{I}(\alpha)$  and  $\mathcal{I}(\beta) = [b_{\beta}, e_{\beta}]$ 

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• Shrink  $k_l$ ,  $k_r$  using binary search on  $< \lg^2 n$  elements

Similar idea for heavy nodes

#### Lemma

We can merge two SAIs in  $O(\lg \lg n)$  time.

# Parallelize the Merging

What are we doing to merge two SA/s

Query y-fast tries and binary search

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#### Parallelize these queries

- Binary search requires  $\mathcal{O}\left(\lg_p n\right)$  parallel time [Snir 1985]
- Binary search in the x-fast trie
- Static *y*-fast trie  $\rightarrow$  arrays instead of binary search trees

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Query y-fast tries and binary search

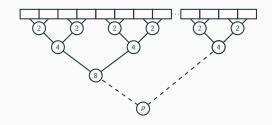
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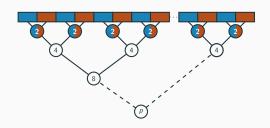
#### Lemma

We can merge two SAIs in  $\mathcal{O}(\lg_p \lg n)$  parallel time.

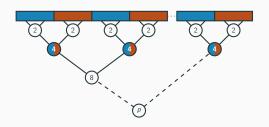
- $P = P_1 P_2 \dots P_p$  with  $|P_i| = m/p$
- Compute  $\mathcal{I}(P_i)$  in  $\mathcal{O}(m/p)$  time
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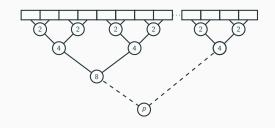
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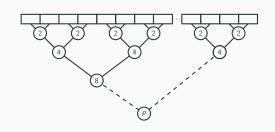
#### In the *k*-th Step

 $p/2^k$   $SAIs \rightarrow 2^k$  processors

#### **Number of Steps**

There are  $\lg p$  merge steps

- $P = P_1 P_2 \dots P_p$  with  $|P_i| = m/p$
- Compute  $\mathcal{I}(P_i)$  in  $\mathcal{O}(m/p)$  time
- Merge SAIs in  $\mathcal{O}(\lg_p \lg n)$  time



#### In the *k*-th Step

 $p/2^k$   $SAIs \rightarrow 2^k$  processors

#### **Number of Steps**

There are  $\lg p$  merge steps

#### **Theorem**

Parallel exact pattern matching requires  $O(m/p + \lg \lg p \lg \lg n)$  time.

#### The *k*-Difference and *k*-Mismatch Problem

Given a text T of length n and a pattern P of length m . . .

#### **k-Difference Problem**

... find all occurrences of P' in T such that P can be transformed to P' using  $\leq k$  INSERT, CHANGE and DELETE operations.

#### The k-Difference and k-Mismatch Problem

Given a text T of length n and a pattern P of length m . . .

#### **k-Difference Problem**

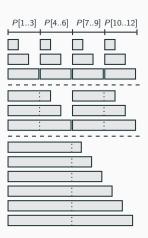
... find all occurrences of P' in T such that P can be transformed to P' using  $\leq k$  INSERT, CHANGE and DELETE operations.

#### k-Mismatch Problem

... find all occurrences of P' in T such that P can be transformed to P' using  $\leq k$  CHANGE operations.

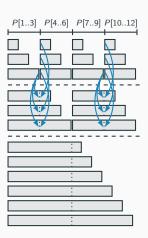
Compute SAIs of all prefixes and suffixes of P

**Preprocessing:** |P| = 12, p = 4



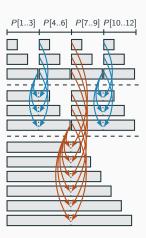
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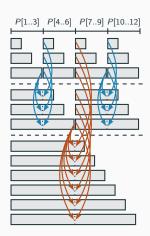


Compute SAIs of all prefixes and suffixes of P

**Preprocessing:** |P| = 12, p = 4

#### In the *k*-th Step

- $p/2^k$  left SAIs
- $2^k m/p$  right SAIs



#### Compute SAIs of all prefixes and suffixes of P

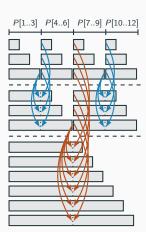
**Preprocessing:** 
$$|P| = 12, p = 4$$

#### In the *k*-th Step

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- $2^k m/p$  right SAIs

### **Cost of Merging**

- There are  $\lg n$  merge steps
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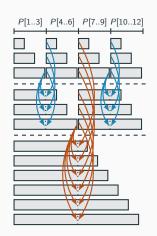
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#### Lemma

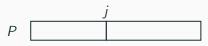
The preprocessing requires  $\mathcal{O}(m/p \lg p \lg \lg n)$  time.

# Introducing the Error (Insert, Change or Delete)

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- What is an error at position j

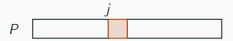
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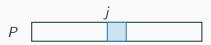
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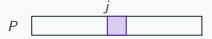
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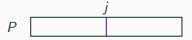
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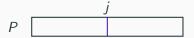
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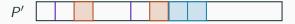


#### Theorem

Approximate parallel pattern matching with  $\leq 1$  error can be solved in  $\mathcal{O}(\sigma m/p \cdot \lg \lg n + occ)$  time.

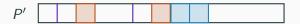
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The Problem: T = aaa\$ and P = aba and one error

Change P' = aaa

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#### The Solution

- Report only if found with smallest distance [Huynh et al., 2006]
- Can be parallelized

#### Conclusion

## Things we did

- Presented efficient parallel algorithm for merging SAIs
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# Thank You